

## ABSTIMMUNGSVERHALTEN

### Novartis AG

Die BVK nimmt ihre Aktionärsrechte in Bezug auf grosskapitalisierte Standardwerte (Blue Chips) innerhalb der Schweiz aktiv wahr. Nachfolgend publizieren wir das Abstimmungsverhalten der BVK an der Generalversammlung 2019.

**Angaben zur Generalversammlung**      Ordentliche Generalversammlung,  
28. Februar 2019

#### **Kennzahlen zur BVK-Beteiligung**

Aktienbestand BVK	5'545'000
Anteil BVK am Aktienkapital	0,24%
Marktwert	CHF 494,5 Mio.
Rendite 1 Jahr	12,83%

<b>Abstimmungsverhalten</b>	<b>Nr.</b>	<b>Traktandum</b>	<b>Abstimmung</b>
<b>BVK</b>	1.	Accept Financial Statements and Statutory Reports	JA
	2.	Approve Discharge of Board and Senior Management	JA
	3.	Approve Allocation of Income and Dividends of CHF 2.85 per Share	JA
	4.	Approve CHF 11.6 Million Reduction in Share Capital via Cancellation of Repurchased Shares	JA
	5.	Authorize Repurchase of up to CHF 10 Billion in Issued Share Capital	JA
	6.	Approve Special Distribution by Way of a Dividend in Kind to Effect the Spin-Off of Alcon Inc.	JA
	7.1	Approve Maximum Fixed Remuneration of Directors in the Amount of CHF 8.2 Million	NEIN
	7.2	Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 92 Million	NEIN
	7.3	Approve Remuneration Report	NEIN
	8.1	Reelect Joerg Reinhardt as Director and Board Chairman	NEIN
	8.2	Reelect Nancy Andrews as Director	JA
	8.3	Reelect Ton Buechner as Director	NEIN
8.4	Reelect Srikant Datar as Director	NEIN	
8.5	Reelect Elizabeth Doherty as Director	JA	
8.6	Reelect Ann Fudge as Director	JA	
8.7	Reelect Frans van Houten as Director	NEIN	
8.8	Reelect Andreas von Planta as Director	NEIN	
8.9	Reelect Charles Sawyers as Director	NEIN	
8.10	Reelect Enrico Vanni as Director	NEIN	
8.11	Reelect William Winters as Director	NEIN	
8.12	Elect Patrice Bula as Director	NEIN	

9.1	Reappoint Srikant Datar as Member of the Compensation Committee	NEIN
9.2	Reappoint Ann Fudge as Member of the Compensation Committee	JA
9.3	Reappoint Enrico Vanni as Member of the Compensation Committee	NEIN
9.4	Reappoint William Winters as Member of the Compensation Committee	NEIN
9.5	Appoint Patrice Bula as Member of the Compensation Committee	NEIN
10.	Ratify PricewaterhouseCoopers AG as Auditors	JA
11.	Designate Peter Zahn as Independent Proxy	JA
12.	Transact Other Business (Voting)	NEIN